

13 June 2016

Audit and Scrutiny Committee

Internal Audit Progress Report

Report of: *Greg Rubins, Head of Internal Audit (BDO)*

Wards Affected: *All*

This report is: *Public*

1. Executive Summary

- 1.1 This report details the progress to date against the 2015/16 internal audit plan that was agreed with in the Audit and Scrutiny Committee in March 2015.
- 1.2 The report also includes an update on the progress of the implementation of the recommendations raised in 2014/15.
- 1.3 The following reports have been completed since the last Committee and a summary of our findings are included in the progress report:
 - Human Resources (limited assurance)
 - Risk Management (limited assurance)
 - Disaster Recovery and Business Continuity (limited assurance)
 - Payroll (moderate assurance)
 - Housing Systems (moderate assurance)
 - Revenues and Benefits (moderate assurance)

The full reports are available on request.

2. Recommendation

- 2.1 That the Committee receives and notes the contents of the reports.**

3. Introduction and Background

- 3.1 The Audit and Scrutiny Committee approved the 2015/16 annual audit plan on 9 March 2015. The progress against this plan is reported at every Audit, Scrutiny and Transformation Committee.

4. Issue, Options and Analysis of Options

4.1 Not applicable.

5. Reasons for Recommendation

5.1 To monitor the progress of work against the internal audit plan.

6. Consultation

6.1 Not applicable.

7. References to Corporate Plan

7.1 Good financial management underpins all priorities within the Corporate Plan.

8. Implications

Financial Implications

Name & Title: Ramesh Prashar, Financial Services Manager

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8.1 There are no direct financial implications arising from this report.

Legal Implications

Name & Title: Saleem Chughtai, Legal Services Manager

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8.2 There are no legal implications arising directly from this report.

9. Background Papers

9.1 None

10. Appendices to this report

Appendix A – Internal Audit Progress Report

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